

## United States Patent and Trademark Office

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U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. 10/553,774 Richard H Lyon **LYON 1001 US** 

INTERNATIONAL APPLICATION NO.

PCT/US04/12363

I.A. FILING DATE PRIORITY DATE

04/22/2004 04/23/2003

**CONFIRMATION NO. 3836 371 FORMALITIES LETTER** \*OC00000019221561\*

21403 STEVEN J WEISSBURG 238 MAIN STREET SUITE 303 CAMBRIDGE, MA 02142

Date Mailed: 06/12/2006

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 10/19/2005
- Copy of the International Search Report filed on 10/19/2005
- Copy of IPE Report filed on 10/19/2005
- Oath or Declaration filed on 10/19/2005
- Request for Immediate Examination filed on 10/19/2005
- U.S. Basic National Fees filed on 10/19/2005
- Priority Documents filed on 10/19/2005
- Specification filed on 10/19/2005
- Claims filed on 10/19/2005
- Abstracts filed on 10/19/2005
- Drawings filed on 10/19/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$4325 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:

- is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$4665 for a Small Entity:

- \$65 Surcharge.
  - The specification and drawings contain more than 100 pages. Applicant owes \$125 for 8 pages in excess of 100 pages for a small entity in compliance with 37 CFR 1.27.
  - The application search fee has not been paid. Applicant must submit \$50 to complete the search fee. Note a surcharge will be required if submitted later than commencement of the national stage (37 CFR 1.492(h)) and the basic national fee was not paid before July 1, 2005.
  - The application examination fee has not been paid. Applicant must submit \$100 to complete the examination fee for a small entity in compliance with 37 CFR 1.27. Note a surcharge will be required if submitted later than commencement of the national stage (37 CFR 1.492(h)) and the basic national fee was not paid before July 1, 2005.
- Total additional claim fee(s) for this application is \$ 4325
  - \$1100 for 11 independent claims over 3.
  - **\$3225** for **129** total claims over 20.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

KAREN M WILLIAMS

Telephone: (703) 308-9140 EXT 213

PART 2 - OFFICE COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/553,774                  | PCT/US04/12363                | LYON 1001 US     |

FORM PCT/DO/EO/905 (371 Formalities Notice)